

Exhibit D

**IN THE UNITED STATES BANKRUPTCY COURT
FOR THE SOUTHERN DISTRICT OF TEXAS
HOUSTON DIVISION**

In re: Sorrento Therapeutics, Inc., et al. <div style="text-align: right;">Debtors.</div>	§ § § § § § §	Chapter 11 Case No. 23-90085 (DRJ) (Jointly Administered)
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**SUBMISSION OF MORGAN STANLEY PURSUANT TO
THE COURT’S AMENDED ORDER OF MAY 23, 2023**

TO THE HONORABLE COURT:

Morgan Stanley and Morgan Stanley & Co., LLC (collectively “Morgan Stanley”) submits its certification and report pursuant to this Court’s Amended Order of May 23, 2023, as follows:

1. Morgan Stanley has credited all Dividended Scilex Stock to its customers’ accounts and submits its certification, attached hereto as Exhibit A, with a report detailing as to each customer account, on an anonymous basis, the number of shares of Dividended Scilex Stock credited.

2. The report reflects (i) the number of shares credited to accounts having long positions in Scilex stock, (ii) the number of shares owing to Morgan Stanley, primarily by accounts having short positions, (iii) the number of shares that have been lent to Morgan Stanley to cover account holders’ short positions and (iv) the number of shares held by Morgan Stanley’s transfer agent (Depot Holdings).

3. Morgan Stanley reserves their right to amend or supplement their submissions.

Respectfully submitted,

DOYLE RESTREPO HARVIN & ROBBINS, L.L.P.

By: /s/ Andrew R. Harvin

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ATTORNEY FOR RESPONDENTS

MORGAN STANLEY AND MORGAN

STANLEY & Co., LLC

CERTIFICATE OF SERVICE

I hereby certify that, on June 2, 2023, a true and correct copy of the foregoing document was served by the electronic case filing system for the United States Bankruptcy Court for the Southern District of Texas.

/s/ Andrew R. Harvin

Andrew R. Harvin

EXHIBIT A


MORGAN STANLEY'S CERTIFICATION AND REPORT

CERTIFICATION

Pursuant to the Order [Dkt. No. 609] (the “Order”)¹ entered in *In re: Sorrento Therapeutics, Inc., et al.*, Case No. 23-90085 (DRJ) (Bankr. S.D.T.X.), the undersigned as authorized signatory of Morgan Stanley & Co. (“Morgan Stanley”) hereby certifies that, to the undersigned’s knowledge, as of this second day of June, 2023:

- a. Pursuant to Section 2(a) of the Order, Morgan Stanley has credited all Dividended Scilex Stock to its customers’ accounts and hereby attaches its report detailing as to each customer account, on an anonymous basis, the number of shares of Dividended Scilex Stock credited.
- b. The Dividended Scilex Stock is restricted and therefore not freely tradable. Therefore, at present, Morgan Stanley has not priced the shares. Morgan Stanley reserves all rights with regard to ongoing pricing considerations of the restricted Dividended Scilex Stock.

Executed under penalty of perjury, this second day of June, 2023.

By: 
Printed Name: Philip Mackenzie
Title: Executive Director
Address: 1300 Thames Street, Baltimore

¹ Capitalized words used but not otherwise defined shall have the meaning ascribed to such terms in the Order.

LONG Positions**Breakdown**

Account Number	Allocated Stock OutTurn (808CNT018)	Long Positions	871,811
1	1,195.00	Oweables (Short + Fails)	-2,619,201
2	6,851.00	Stock Lending	2,448,997
3	220	Depot Holdings	-701,607
4	53		
5	4,698.00	Total	0.00
6	27,247.00		
7	91		
8	4,096.00		
9	19,294.00		
10	244		
11	421		
12	59,005.00		
13	25,257.00		
14	668		
15	255		
16	5,672.00		
17	1,791.00		
18	3,559.00		
19	402		
20	15,805.00		
21	197		
22	569		
23	-169		
24	12,931.00		
25	149		
26	4,384.00		
27	1,188.00		
28	5,284.00		
29	109		
30	30		
31	-34		
32	950		
33	1		
34	34		
35	1		
36	7,619.00		
37	564,050.00		
38	215		
39	39,294.00		
40	210		
41	514		
42	46,463.00		
43	2,768.00		
44	8,172.00		
45	-79		
46	137		

Total	871,811.00
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OWABLES (SHORT)

Account Number	Allocated Stock OutTurn (808CNT018)
47	-30,157.00
48	-253
49	-70
50	-20,961.00
51	-19,819.00
52	-8,314.00
53	-728
54	-83,165.00
55	-18,670.00
56	-871
57	-15,743.00
58	-52,066.00
59	-1,050.00
60	-13,188.00
61	-84,642.00
62	-201
63	-11,937.00
64	-9,966.00
65	-639,309.00
66	-10,011.00
67	-54,658.00
68	-21,913.00
69	-8,164.00
70	-721,473.00
71	-53,800.00
72	-215,324.00
73	-11,154.00
74	-216,169.00
75	-42
76	-1,138.00
77	-1,736.00
78	-10,408.00
79	-223
80	-4,272.00
81	-137
82	0
83	-570
84	-841
85	-5,189.00
86	-4,950.00
87	-23,512.00
88	0
89	-959
90	-10,109.00
91	-2,672.00
92	1,991.00
93	-1,105.00
94	-593
95	3,302.00
96	-33,284.00

97	0
98	-10,390.00
99	-60,918.00
100	0
101	-127
102	-119,564.00
103	-2,369.00
104	-2,044.00
105	-514
106	-1,024.00
107	-2028

Total	-2,619,201.00
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STOCK LENDING

Account Number	Allocated Stock OutTurn (808CNT018)
108	4,018.00
109	1,304,127.00
110	76,062.00
111	196,910.00
112	37,749.00
113	68,489.00
114	4,406.00
115	9,179.00
116	344,451.00
117	191,763.00
118	211,843.00

Total	2,448,997.00
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DEPOT HOLDINGS (@ Transfer Agent)

Account Number	Allocated Stock OutTurn (808CNT018)
119	-137,340.00
120	-564,265.00
121	-2

Total	-701,607.00
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Account Number	Allocated Stock OutTurn (808CNT018)
1	21,160.00
2	97,438.00
3	1,195.00
4	6,851.00
5	-30,157.00
6	-253
7	220
8	-70
9	53
10	4,698.00
11	-20,961.00
12	-19,819.00
13	27,247.00
14	-8,314.00
15	91
16	4,096.00
17	19,294.00
18	-728
19	-83,165.00
20	244
21	-18,670.00
22	421
23	-871

Account Number	Allocated Stock OutTurn (808CNT018)
24	0
25	-97,438.00
26	-21,160.00
27	59,005.00
28	25,257.00
29	-15,743.00
30	-52,066.00
31	668
32	255
33	5,672.00
34	-1,050.00
35	-13,188.00
36	-84,642.00
37	-201
38	-11,937.00
39	1,791.00
40	-9,966.00
41	3,559.00
42	12,084.00
43	-12,084.00
44	402
45	15,805.00
46	-639,309.00
47	-10,011.00

48	197
49	569
50	-169
51	-54,658.00
52	-21,913.00
53	-8,164.00
54	-721,473.00
55	-53,800.00
56	-215,324.00
57	-11,154.00
58	12,931.00
59	-216,169.00
60	149
61	-1,024.00
62	39,294.00
63	-2,369.00
64	514
65	-514
66	2,768.00
67	-2,044.00
68	-119,564.00
69	46,463.00
70	8,172.00
71	210
72	-42
73	-1,138.00
74	-1,736.00
75	4,384.00
76	1,188.00
77	-137
78	-10,408.00
79	5,284.00
80	109
81	-223
82	30
83	-34
84	950
85	-4,272.00
86	0
87	-570
88	-841
89	-5,189.00
90	-4,950.00
91	-23,512.00
92	0
93	1
94	-959
95	-10,109.00
96	34
97	-2,672.00
98	1,991.00
99	-1,105.00

100	-593
101	3,302.00
102	-33,284.00
103	0
104	1
105	-10,390.00
106	-60,918.00
107	0
108	-127
109	7,619.00
110	-137,340.00
111	2,452,006.00
112	-210
113	-2,736.00
114	-2,035.00

Account Number	Allocated Stock OutTurn (808CNT018)
115	564,050.00
116	215
117	1,024.00
118	-39,294.00
119	2,369.00
120	-514
121	514
122	-2,768.00
123	2,044.00
124	119,564.00
125	-46,463.00
126	-8,172.00
127	-210
128	-119,564.00
129	39,294.00
130	-2,369.00
131	-2,044.00
132	210
133	-514
134	514
135	46,463.00
136	-1,024.00
137	2,768.00
138	-93,923.00
139	93,923.00
140	8,172.00
141	-79
142	137
143	-564,265.00
144	-2
145	-56

Allocation	Client Category	Account/Type	External?	Allocated Stock OutTurn (808CNT018)
				-18
Allocated	IG	1		2,452,006.00
Allocated	CC	2		210
Allocated	CC	3		2,736.00
Allocated	TR	4		-2,452,006.00
Allocated	IG	5		-2,947.00
				-19
Allocated	BD	7	External	4,018.00
Allocated	IN	8	External	1,304,127.00
Allocated	IN	9	External	76,062.00
Allocated	IG	10		56
Allocated	IN	11	External	196,910.00
Allocated	IC	12	External	37,749.00
Allocated	IN	13	External	68,489.00
Allocated	IN	14	External	4,406.00
Allocated	IN	15	External	9,179.00
Allocated	IN	16	External	344,451.00
Allocated	IN	17	External	191,763.00
Allocated	IN	18	External	211,843.00
Allocated	IC	19		2,947.00
Allocated	IG	20		-2,452,006.00
				0
Allocated	TR	22		56
Allocated	IG	23		2,947.00
Allocated	IG	24		-56
Allocated	IC	25		-2,947.00